

## 1 SCOPE OF POLICY

- 1.1 These guidelines set out the policies of Doriemus plc (the **Company**) in relation to the sale and purchase of securities in the Company and any associated entities.
- 1.2 This policy will apply to the Company with effect from the date of the Company's listing on the Australian Securities Exchange (**ASX**).
- 1.3 This policy applies to the Board of Directors, officers, PDMRs (as defined in section 1.3 below), contractors, consultants and employees (each a **Key Person** and collectively the **Key Personnel**) and any person closely associated with any PDMR (**PCA**) of the Company when dealing with securities in the Company.
- 1.4 For the purposes of this Securities Trading Policy, PDMR means a person discharging managerial responsibilities in respect of the Company, being either:
- (a) a director of the Company; or
  - (b) any other employee who has been told that he or she is a PDMR.
- 1.5 For the avoidance of doubt, PCA shall mean a person closely associated with a Key Person, being:
- (a) the spouse or civil partner of a Key Person; or
  - (b) a Key Person's child or stepchild under the age of 18 years who is unmarried and does not have a civil partner; or
  - (c) a relative who has shared the same household as the Key Person for at least one year on the date of the relevant dealing; or
  - (d) a legal person, trust or partnership, the managerial responsibilities of which are discharged by a Key Person (or by a PCA referred to in paragraphs (A), (B), or (C) of this definition), which is directly or indirectly controlled by such a person, which is set up for the benefit of such a person or which has economic interests which are substantially equivalent to those of such a person.
- 1.6 Key Personnel must provide the Company with a list of their PCAs and notify the Company of any changes that need to be made to that list.
- 1.7 Key Personnel are encouraged to be long-term holders of the Company's securities. However, the purpose of this policy is to ensure that no Key Personnel use information gained through their position in the Company to purchase or sell securities in the Company. This policy is implemented to assist Key Personnel in avoiding conduct known as 'insider trading' under the *Corporation Act 2001* (Cth) (the **Act**) or "insider dealing" under *EU Market Abuse Regulation (596/2014)* (**MAR**).
- 1.8 Key Personnel are required to comply with the English *Companies Act (2006)* (**English Act**), English *Financial Services and Markets Act 2000* (**FSMA**) the NEX Exchange Growth Market Rules for Issuers (**NEX Rules**), the MAR, the Act (as applicable) and the Australian Securities Exchange (**ASX**) Listing Rules. Key Personnel are also required to comply with this policy,

including where this policy extends beyond the scope of the English Act, the MAR, the NEX Rules, the Act and the ASX Listing Rules.

- 1.9 A list is to be maintained by the Company of parties considered to be permanent insiders as well as any transaction specific insiders involved in material corporate transactions (**Insider List**). Written acknowledgment is required from insiders on the list confirming that they understand their obligations as well as the circulated Securities Trading Policy applicable to PCAs.
- 1.10 Company staff dealing with external parties need to ensure that where the dealings are material, insider trading is included in confidentiality agreements and that all parties are aware of the insider trading rules.
- 1.11 All Restricted Persons will be provided with a copy of this policy. Training or awareness sessions on this policy will be held from time to time, as required.

## 2 WHAT IS INSIDER TRADING?

### Prohibition under the Act

- 2.1 The Act states that it is a criminal offence for a person who possesses inside information in relation to securities (whether that inside information is of the Company or any other entity) to:
- (a) apply for, acquire or dispose of those securities, or agree to do so;
  - (b) procure, encourage, incite or induce any other person (for example, a family member, friend, or family company or trust) to do any of the above things; or
  - (c) directly or indirectly communicate inside information to any other person, if the person with the inside information knows or ought reasonably to know that the other person may use the information to do any of the above things,
- whether the person does so on their own behalf or on behalf of another person.
- 2.2 The insider trading rules also apply to dealings with securities in other companies. Buying and selling securities of other companies with which the Company may be dealing is prohibited where an individual possesses information which is not generally available to the market and is 'price sensitive'. For example, where an individual is aware that the Company is about to sign a major agreement with another company, they should not buy securities in either the Company or the other company.

### Examples

- 2.3 Inside information is information that is not generally available and that, if it were generally available, a reasonable person would expect to have a material effect on the price or value of relevant securities.
- 2.4 Information is 'generally available' if:
- (a) it consists of readily observable matter;
  - (b) it has been brought to the attention of investors by an ASX or NEX announcement and a reasonable period for its dissemination has elapsed since the announcement; or
  - (c) it consists of observations, deductions, conclusions or inferences made or drawn from other generally available information.

2.5 To illustrate the prohibition described above, the following are possible examples of inside information which, if made available to the market, may be likely to materially affect the price of the Company's securities:

- (a) the Company considering a major acquisition;
- (b) the threat of major litigation against the Company;
- (c) the Company's revenue and profit or loss returns materially exceeding (or falling short of) the market's expectations;
- (d) a material change in debt, liquidity or cash flow;
- (e) a significant new development proposal (e.g. new product or technology);
- (f) the grant or loss of a major contract;
- (g) a share issue proposal; and
- (h) significant discoveries, exploration results, or changes in reserve/resource estimates from mining tenements in which the Company has an interest.

#### **Information however obtained**

2.6 It does not matter how or where the person obtains the information. The information does not have to be obtained from the Company to constitute inside information.

#### **Extra-territorial application**

2.7 Under the Act, the law against insider trading applies to conduct relating to dealing in the Company's securities that occurs both outside Australia and within Australia.

#### **Employee share schemes**

2.8 The prohibition does not apply to acquisitions of shares or options by employees made under employee share or option schemes, nor does it apply to the acquisition of shares as a result of the exercise of options under an employee option scheme. However, the prohibition does apply to the sale of shares acquired under an employee share scheme and also to the sale of shares acquired following the exercise of an option granted under an employee option scheme.

## **3 WHAT IS INSIDER DEALING**

#### **Dealing under MAR**

3.1 Under MAR "**Dealing**" (together with corresponding terms such as **Deal** and **Deals**) means any type of transaction in securities of the Company, including purchases, sales, the exercise of options, the receipt of shares under share plans, using the Company's securities as security for a loan or other obligation and entering into, amending or terminating any agreement in relation to Securities.

#### **Insider dealing provisions**

3.2 It is a criminal offence under the laws of England and Wales for an individual who has inside information to deal in securities whose price would be likely to be significantly affected by that information if made public.

3.3 It is also a criminal offence to disclose inside information other than in the proper performance of the functions of your employment or office, as well as to encourage others to deal.

3.4 "**Inside Information**" is information of a precise nature, which has not been made public,

which relates, directly or indirectly, to the Company (including its subsidiaries) or its securities or related financial instruments and which, if it were made public, would be likely to have a significant effect on the price or value of those securities or related financial instruments.

- 3.5 Information is likely to have a significant effect on price if it is information that a reasonable investor would be likely to use as part of the basis of his or her investment decisions.
- 3.6 If you have Inside Information you must not Deal in the Company's securities.
- 3.7 An individual guilty of insider dealing may be liable to a fine and/or to imprisonment.

#### **Prohibition under MAR**

- 3.8 The market abuse regime under MAR prohibits the following types of behaviour:
- (a) Engaging or attempting to engage in insider dealing.
  - (b) Recommending that another person engage in insider dealing or inducing another person to engage in insider dealing.
  - (c) Unlawfully disclosing inside information.
  - (d) Market manipulation and attempted market manipulation - which comprises the following activities:
    - I. Entering into a transaction, placing an order to trade or any other behaviour which gives or is likely to give, false or misleading signals as to the supply or demand for, or price of, a financial instrument or securities, or is likely to secure, the price of one or several financial instruments at an abnormal or artificial level;
    - II. Entering into a transaction, placing an order to trade or any other behaviour or activity which employs fictitious devices or any form of deception; and
    - III. Disseminating information by any means which gives, or is likely to give, false or misleading signals as to the supply of, demand for, or price of, a financial instrument, or is likely to secure the price of one or several financial instruments at an abnormal or artificial level, including the dissemination of rumours where the person who made the dissemination knew, or ought to have known, that the information was false or misleading.
- 3.9 Market abuse is not a criminal offence and therefore it is not punishable with imprisonment. However, the Financial Conduct Authority may impose unlimited financial penalties, publicly censure a person and/or make an order to compensate or disgorge profits to affected persons. Injunctions to prevent market abuse (and to freeze assets) may also be available.
- 3.10 If the abusive behaviour falls within the scope of the insider dealing provisions of the English *Criminal Justice Act 1993*, it will be a criminal offence and will be punishable with imprisonment.

## **4 GUIDELINES FOR TRADING IN THE COMPANY'S SECURITIES**

### **Closed periods**

- 4.1 Key Personnel must not, except with approval of the Board (or as permitted pursuant to paragraph 4.6 below or otherwise by this Policy), deal in securities of the Company during the following periods:
- (a) the period from the end of the relevant financial year up to the release of the preliminary announcement of the Company's Annual Financial Report (or, where

no such announcement is released, up to the publication of the Company's annual financial report) or if longer, the period of 30 calendar days before such publication and for a period of 48 hours after release of such publication;

- (b) the period of 30 calendar days prior to the release of the Company's Half Year Financial Report or if longer, the period of 30 calendar days before such publication and for a period of 48 hours after release of such publication; and
- (c) the period of 30 calendar days before, and 48 hours after the release of the Company's quarterly reports (if applicable),

(together, the **Closed Periods**).

- 4.2 Key Personnel should ask their PCAs not to deal (whether directly or through an investment manager) in securities during Closed Periods.
- 4.3 Key Personnel and PCAs should ask their investment managers (whether or not discretionary) not to Deal in the Company's securities on their behalf during Closed Periods.
- 4.4 All references to time with respect to the Closed Periods are to Australian Eastern Standard time.
- 4.5 If the commencement date for a Closed Period is not an ASX or NEX trading day, then the Closed Period begins on a preceding trading day.
- 4.6 The Company may at its discretion vary this rule in relation to a particular Closed Period by general announcement to all Key Personnel either before or during the Closed Period. However, if a Key Personnel is in possession of price sensitive information which is not generally available to the market, then he or she must not deal in the Company's securities at **any** time.

#### **No short-term trading in the Company's securities**

- 4.7 Key Personnel should never engage in short-term trading of the Company's securities except for the exercise of options where the shares will be sold shortly thereafter.
- 4.8 Key Personnel should ask their PCAs not to deal on considerations of a short-term nature.
- 4.9 For the purposes of this Securities Trading Policy, a sale of the Company's securities which were acquired less than a year previously will be considered to be short-term dealing/trading.

#### **Exceptions**

- 4.10 Key Personnel may at any time:
  - (a) acquire ordinary shares in the Company by conversion of securities giving a right of conversion to ordinary shares;
  - (b) acquire Company securities under a bonus issue made to all holders of securities of the same class;
  - (c) acquire Company securities under a dividend reinvestment, or top-up plan, that is available to all holders of securities of the same class;
  - (d) acquire, or agree to acquire or exercise options under an employee incentive scheme (as that term is defined in the ASX Listing Rules);
  - (e) withdraw ordinary shares in the Company held on behalf of the Key Personnel in an employee incentive scheme (as that term is defined in the ASX Listing Rules) where the withdrawal is permitted by the rules of that scheme;

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- (f) acquire ordinary shares in the Company as a result of the exercise of options held under an employee option scheme;
  - (g) transfer securities of the Company already held into a superannuation fund or other savings scheme in which the Key Personnel is a beneficiary;
  - (h) make an investment in, or trade in units of, a fund or other scheme (other than a scheme only investing in the securities of the Company) where the assets of the fund or other scheme are invested at the discretion of a third party;
  - (i) where a Key Personnel is a trustee, trade in the securities of the Company by that trust, provided the Key Personnel is not a beneficiary of the trust and any decision to trade during a prohibited period is taken by the other trustees or by the investment managers independently of the Key Personnel;
  - (j) undertake to accept, or accept, a takeover offer;
  - (k) trade under an offer or invitation made to all or most of the security holders, such as a rights issue, a security purchase plan, a dividend or distribution reinvestment plan and an equal access buy-back, where the plan that determines the timing and structure of the offer has been approved by the Board. This includes decisions relating to whether or not to take up the entitlements and the sale of entitlements required to provide for the take up of the balance of entitlements under a renounceable pro rata issue;
  - (l) dispose of securities of the Company resulting from a secured lender exercising their rights, for example, under a margin lending arrangement;
  - (m) exercise (but not sell securities following exercise) an option or a right under an employee incentive scheme, or convert a convertible security, where the final date for the exercise of the option or right, or the conversion of the security, falls during a prohibited period or the Company has had a number of consecutive prohibited periods and the Key Personnel could not reasonably have been expected to exercise it at a time when free to do so; or
  - (n) trade under a non-discretionary trading plan for which prior written clearance has been provided in accordance with procedures set out in this Policy.

**Notification of periods when Key Personnel are not permitted to trade**

- 4.11 The Company Secretary will endeavor to notify all Key Personnel of the times when they are not permitted to buy or sell the Company's securities as set out in paragraph 4.1.

**Blacklisted securities**

- 4.12 From time to time, the Company will be engaged in certain activities where inside information in relation to securities of another entity may be made available to Key Personnel as a result of their role or position within the Company. The Company wishes to minimize the risk that such Key Personnel, because of their position, might be perceived to be engaged in inappropriate dealings, and therefore the Company may blacklist certain securities in relation to particular Key Personnel.
- 4.13 Where the Company notifies Key Personnel in writing that they are subject to a blacklist in relation to a particular security, that person may not deal in the security for the period of time specified in the notice and notify their PCAs that they must also not deal in the security for the period of time specified in the notice.

## 5 APPROVAL AND NOTIFICATION REQUIREMENTS

### Approval requirements

- 5.1 Any Key Personnel or PCA (other than the Chairperson) wishing to buy, sell or exercise rights in relation to the Company's securities must obtain the prior written approval of the Chairperson or the Board before doing so by submitting an application in the form set out in Schedule 1.
- 5.2 If the Chairperson wishes to buy, sell or exercise rights in relation to the Company's securities, the Chairperson must obtain the prior approval of the Board before doing so.

### Approvals to buy or sell securities

- 5.3 All requests to buy or sell securities as referred to in paragraph 5.1 and 5.2 must include the intended volume of securities to be purchased or sold and an estimated time frame for the sale or purchase.
- 5.4 Copies of written approvals must be forwarded to the Company Secretary prior to the approved purchase or sale transaction.
- 5.5 If you are given clearance, you must deal as approved as soon as possible and in any event within two business days of receiving clearance.

### Notification

- 5.6 Subsequent to approval obtained in accordance with paragraphs 5.1 and 5.2, any Key Personnel or PCA who (or through any associated person) buys, sells, or exercises rights in relation to Company securities must notify the Company Secretary in writing of the details of the transaction within two (2) business days of the transaction occurring in the form set out in Schedule 2. This notification obligation operates at all times and includes applications for acquisitions of shares or options by employees made under employee share or option schemes and also applies to the acquisition of shares as a result of the exercise of options under an employee option scheme.

### Additional Provisions for PDMRs

- 5.7 Key Personnel who are PDMRs, cannot avail themselves of the minimum financial thresholds for notification permitted by the Market Abuse Regulations (MAR). You must notify the Company and the FCA in writing of every Notifiable Transaction in securities conducted for your account as follows.
- (a) Notifications to the Company must be made using the template in Schedule 1 and sent to Chairperson of the Board of Directors as soon as practicable and in any event within three business days of the transaction date. You should ensure that your investment managers (whether discretionary or not) notify you of any Notifiable Transactions conducted on your behalf promptly so as to allow you to notify the Company within this time frame
  - (b) The Chairperson must notify the other members of the Board and the Company Secretary (or his or her delegate) in writing in person or by mail or email by using the template in Schedule 1 within three business days of the transaction date.
  - (c) Key Personnel who are not also a Director must notify the Chairperson and the Company Secretary (or his or her delegate) in writing in person or by mail or email using the template in Schedule 1 within three business days of the transaction date.

(d) Notifications to the FCA must be made within three business days of the transaction date. A copy of the notification form is available on the FCA's website. If you would like, the Chairperson of the Board of Directors (or in the case of the Chairperson, the Company's legal counsel) can assist you with this notification, provided that you ask him or her to do so within one business day of the transaction date.

5.8 If you are uncertain as to whether or not a particular transaction is a Notifiable Transaction, you must obtain guidance from the Chairperson of the Board of Directors.

5.9 For the purposes of this Securities Trading Policy, "**Notifiable Transaction**" means any transaction relating to securities of the Company conducted for the account of a PDMR or PCA, whether the transaction was conducted by the PDMR or PCA or on his or her behalf by a third party and regardless of whether or not the PDMR or PCA had control over the transaction. This captures every transaction which changes a PDMR's or PCA's holding of securities in the Company, even if the transaction does not require clearance under this policy. It also includes gifts of securities, the grant of options or share awards, the exercise of options or vesting of share awards and transactions carried out by investment managers or other third parties on behalf of a PDMR.

#### **Key Personnel sales of securities**

5.10 Key Personnel need to be mindful of the market perception associated with any sale of Company securities and possibly the ability of the market to absorb the volume of shares being sold. With this in mind, the management of the sale of any significant volume of Company securities (i.e. a volume that would represent a volume in excess of 10% of the total securities held by the seller prior to the sale, or a volume to be sold that would be in excess of 10% of the average daily traded volume of the shares of the Company on the either ASX or NEX for the preceding 20 trading days) by a Key Personnel needs to be discussed with the Board and the Company's legal advisers prior to the execution of any sale. These discussions need to be documented in the form of a file note, to be retained by the Company Secretary. Key Personnel must also ensure that their PCAs comply with this obligation as well.

#### **Exemption from Closed Periods restrictions due to exceptional circumstance**

5.11 Key Personnel or PCAs who are not in possession of inside information in relation to the Company, may be given prior written clearance by the Chairperson (or in the case of the Chairperson by all other members of the Board) to sell or otherwise dispose of Company securities in a Closed Period where the person is in severe financial hardship or where there are exceptional circumstances as set out in this policy.

#### **Severe financial hardship or exceptional circumstances**

5.12 The determination of whether a Key Person or PCA is in severe financial hardship will be made by the Chairperson (or, in the case of the Chairperson, by all other members of the Board).

5.13 A financial hardship or exceptional circumstances determination can only be made by examining all of the facts and, if necessary, obtaining independent verification of the facts from banks, accountants or other like institutions.

#### **Financial hardship**

5.14 Key Personnel may be in severe financial hardship if they have a pressing financial commitment that cannot be satisfied other than by selling the securities of the Company.

5.15 In the interests of an expedient and informed determination by the Chairperson (or all other members of the Board as the context requires), any application for an exemption allowing the

sale of Company securities in a Closed Period based on financial hardship must be made in writing stating all of the facts and be accompanied by copies of relevant supporting documentation, including contact details of the person's accountant, bank and other such independent institutions (where applicable).

- 5.16 Any exemption, if issued, will be in writing and shall contain a specified time period during which the sale of securities can be made.

#### **Exceptional circumstances**

- 5.17 Exceptional circumstances may apply to the disposal of Company securities by a Key Personnel if the person is required by a court order or, a court enforceable undertaking (for example, in a bona fide family settlement) to transfer or sell securities of the Company, or there is some other overriding legal or regulatory requirement to do so.
- 5.18 Any application for an exemption allowing the sale of Company securities in a Closed Period based on exceptional circumstances must be made in writing and be accompanied by relevant court and / or supporting legal documentation (where applicable).
- 5.19 Any exemption, if issued, will be in writing and shall contain a specified time period during which the sale of securities can be made.

## **6 ASX NOTIFICATION FOR DIRECTORS**

- 6.1 The ASX Listing Rules require the Company to notify the ASX within 4 business days after any dealing in securities of the Company (either personally or through an Associate) which results in a change in the relevant interests of a Director in the securities of the Company. The Company has made arrangements with each Director to ensure that the Director promptly discloses to the Company Secretary all the information required by the ASX.

## **7 EFFECT OF COMPLIANCE WITH THIS POLICY**

- 7.1 Compliance with these guidelines for trading in the Company's securities does not absolve that individual from complying with the law, which must be the overriding consideration when trading in the Company's securities.

# Schedule 1

## Application for clearance to deal

### **Doriemus PLC (the “Company”)**

If you wish to apply for clearance to deal under the Company’s Securities Trading Policy, please complete sections 1 and 2 of the table below and submit this form to the Chairperson of the Board of Directors (or if the Chairperson is seeking permission to deal, the form should be submitted to an Independent Director (if one is appointed) and if not to any other Director of the Board (and references to the Chairperson in this Clearance Application should be construed accordingly). By submitting this form, you will be deemed to have confirmed and agreed that:

- (i) the information included in this form is accurate and complete;
- (ii) you are not in possession of inside information relating to the Company or any Company securities;
- (iii) if you are given clearance to deal and you still wish to deal, you will do so as soon as possible and in any event within two business days; and
- (iv) if you become aware that you are in possession of inside information before you deal, you will inform Chairperson of the Board of Directors and refrain from dealing.

<b>1. Applicant</b>	
Name	<i>[insert name]</i>
Contact details	<i>[For executive directors and other employees, please include email address and extension number.] [For non-executive directors, please include email address and telephone number.]</i>
<b>2. Proposed dealing</b>	
Description of the securities	<i>[e.g. a share, a debt instrument, a derivative or a financial instrument linked to a share or debt instrument.]</i>
Number of securities	<i>[If actual number is not known, provide a maximum amount (e.g. ‘up to 100 shares’ or ‘up to \$1,000 of shares’).]</i>
Nature of the dealing	<i>[Description of the transaction type (e.g. acquisition; disposal; subscription; option exercise; settling a contract for difference; entry into, or amendment or cancellation of, an investment programme or trading plan).]</i>
Other details	<i>[Please include all other relevant details which might reasonably assist the person considering your application for clearance (e.g. transfer will be for no consideration).] [If you are applying for clearance to enter into, amend or cancel an investment programme or trading plan, please provide full details of the relevant programme or plan or attach a copy of its terms.]</i>

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# Schedule 2

## Notification Template

### Doriemus PLC

Notification and public disclosure of transactions by persons discharging managerial responsibilities and persons closely associated with them

Please send your completed form to the Chairperson of the Board of Directors, with a copy to the Company's legal counsel. If you require any assistance in completing this form, please contact the Company's legal counsel. If the Chairperson is seeking permission to deal, he/she must submit this form to an Independent Director (if one is appointed) and if not to any other Director of the Board.

<b>1</b>	<b>Details of the person discharging managerial responsibilities/person closely associated</b>	
a)	Name:	<i>[Include first name(s) and last name(s).] [If the PCA is a legal person, state its full name including legal form as provided for in the register where it is incorporated, if applicable.]</i>
<b>2</b>	<b>Reason for the Notification</b>	
a)	Position/Status:	<i>[For Key Personnel, state job title e.g. CEO, CFO.] [For PCAs, state that the notification concerns a PCA and the name and position of the relevant PDMR.]</i>
b)	Initial notification/ Amendment:	<i>[Please indicate if this is an initial notification or an amendment to a prior notification. If this is an amendment, please explain the previous error which this amendment has corrected.]</i>
<b>3</b>	<b>Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor</b>	
a)	Name:	Doriemus PLC
b)	LEI:	<i>[insert legal entity identifier]</i>
<b>4</b>	<b>Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted</b>	
a)	Description of the financial instrument, type of instrument: Identification code:	<i>[State the nature of the instrument e.g. a share, a debt instrument, a derivative or a financial instrument linked to a share or debt instrument.]</i>
b)	Nature of the transaction:	<i>[Description of the transaction type e.g. acquisition,</i>

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		<p><i>disposal, subscription, contract for difference, etc.]</i></p> <p><i>[Please indicate whether the transaction is linked to the exercise of a share option programme.]</i></p> <p><i>[If the transaction was conducted pursuant to an investment programme or a trading plan, please indicate that fact and provide the date on which the relevant investment programme or trading plan was entered into.]</i></p>						
c)	Price(s) and Volume(s):	<table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th style="text-align: center;">Price(s)</th> <th style="text-align: center;">Volume(s)</th> </tr> </thead> <tbody> <tr> <td style="height: 20px;"></td> <td style="height: 20px;"></td> </tr> <tr> <td style="height: 20px;"></td> <td style="height: 20px;"></td> </tr> </tbody> </table> <p><i>[Where more than one transaction of the same nature (purchase, disposal, etc.) of the same financial instrument are executed on the same day and at the same place of transaction, prices and volumes of these transactions should be separately identified in the table above, using as many lines as needed. Do not aggregate or net off transactions.]</i></p> <p><i>[In each case, please specify the currency and the metric for quantity.]</i></p>	Price(s)	Volume(s)				
Price(s)	Volume(s)							
d)	Aggregated Information: - Aggregated Volume - Price	<p><i>[Please aggregate the volumes of multiple transactions when these transactions:</i></p> <ul style="list-style-type: none"> <li><i>– relate to the same financial instrument; – are of the same nature;</i></li> <li><i>– are executed on the same day; and</i></li> <li><i>– are executed at the same place of transaction.]</i> <p><i>[Please state the metric for quantity.]</i></p> <p><i>[Please provide:</i></p> <ul style="list-style-type: none"> <li><i>– in the case of a single transaction, the price of the single transaction; and</i></li> <li><i>– in the case where the volumes of multiple transactions are aggregated, the weighted average price of the aggregated transactions.]</i> <p><i>[Please state the currency.]</i></p> </li></ul></li></ul>						
e)	Date of the Transaction:	<p><i>[Date of the particular day of execution of the notified transaction, using the date format: YYYY-MM-DD and please specify the time zone.]</i></p>						
f)	Place of the Transaction:	<p><i>[Please name the trading venue where the transaction was executed. If the transaction was not executed on any trading venue, please state 'outside a trading venue' in this box.]</i></p>						